APPENDIX G: DESIRED FUTURE CONDITIONS FOR RESTORED SHORTLEAF PINE ECOSYSTEM IN THE INTERIOR HIGHLANDS OF ARKANSAS AND MISSOURI

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Ouachita National Forest, 1920, photo courtesy of the Forest History Society

Purpose:

The purpose of this report is to provide descriptions, definitions, and assign metrics to structural and compositional variables characterizing desired future conditions (DFCs) for shortleaf pine–bluestem and pine–oak natural community restoration in the Interior Highlands (Ozark/Ouachita region). The emphasis of this document is to help define goals of management rather than management approaches. The purpose of natural community restoration is to recover the biodiversity associated with these shortleaf community types, especially the highly diverse grass/forb component of the groundcover (Masters 2007). Natural communities with a shortleaf pine (SLP) component are listed and metrics for desired conditions provided, along with management guidelines and decision-making criteria. These are not given as absolutes, but rather <u>as guidelines</u> for use in adaptive management; while much has been documented regarding methods for and effects of pine–woodland restoration on the Ouachita National Forest, comparably little has been formally evaluated with regards to the restoration of pine–bluestem in the Ozarks or pine–oak natural communities throughout the region. The intended audience is resource managers that have influence over forest management plans, private land consultants and federal assistance agency, researchers, academia, and wildlife professionals. The subcommittee also recognizes that there are other approaches to growing pine for forest products, but describing those was beyond the scope of the task with which they were charged.

Shortleaf Pine Natural Communities in the Ozark/Ouachita (AR/MO) region:

Natural Community Definition: Natural communities are distinct assemblages of native plants, animals and microorganisms that occur in repeatable and often mappable patterns across the landscape. Natural communities in which SLP is dominant or important are the result of specific combinations of factors related to soils, bedrock and disturbance patterns (e.g. drought, fire, wind and ice storms). SLP occurs primarily within dry and dry-mesic chert, sandstone and igneous woodlands across Missouri, but also occupies igneous and sandstone glades and igneous, chert and sandstone cliff tops. It occurs in similar sites in the Arkansas Ozarks, except that igneous substrates are lacking, and novaculite provides a unique substrate. In the Ouachitas, it is typically on south-facing aspects of extensive east-west trending ridges, and pine–dominated areas are typically larger than in the Ozarks. Mixed hardwood-pine communities are relatively more common in the Ozarks than in the Ouachitas (Guldin 2007).

While drought, wind and ice storms influenced SLP ecosystems, fire is the most consistent disturbance. Fire regimes are affected by site conditions described above and involve variability in intensity, seasonality (time of year), and frequency (time between fires). Large-scale fires occurred over portions of the landscape roughly every 20-40 years, in conjunction with severe droughts.



Shortleaf Pine Community Types:

While the "natural community" can be defined in various ways and levels of detail in distinguishing distinctive plant species assemblages associated with chert, sandstone and igneous woodlands in which SLP is important, the most widely used classification system has been developed by NatureServe. Nelson's classifications (Nelson 2005) are listed because they often are used for the Ozarks as well. NatureServe recognizes the following Plant Community Associations in Ozark/Ouachita in which SLP is important:

- Shortleaf Pine / blueberry Forest Recognized in Nelson 2005 as community variant on dry chert/sandstone/igneous woodland
- 2. Shortleaf Pine (White Oak, Northern Red Oak) / (Farkleberry, Hillside Blueberry) / Little Bluestem Longleaf Woodoats -Elmleaf Goldenrod Forest
 - Nelson 2005 typical of dry-mesic woodland types
- Shortleaf Pine Black Oak Post Oak / Blueberry species Forest Nelson 2005 as dry woodland type in more dissected landscapes (Salem/Potosi Ranger Districts)
- Shortleaf Pine / Rock Outcrop Interior Highland Woodland Variant of woodland types where excessive exposure on rock and cliff is prominent
- Shortleaf Pine / Little Bluestem Elmleaf Goldenrod Red-purple Beebalm Pale Purple Coneflower Woodland Nelson 2005; variant of chert and sandstone distinguished on gentle dissected plains: Pineknot Unit in Missouri for example
- Shortleaf Pine White Oak / Little Bluestem Woodland Nelson 2005 similar to above but white oak increases with landscape dissection on dry mesic slopes
- Shortleaf Pine Post Oak Blackjack Oak / Little Bluestem Woodland Nelson 2005. More prominent near the Central Plateau in Missouri
- 8. A shortleaf pine component is associated with igneous and sandstone glade/rock outcrops where SLP is within the range
- 9. Delta Post Oak-Willow Oak Flatwoods Forest (includes shortleaf pine)

Although this list demonstrates the diversity of plant communities in the region in which SLP is important, the subcommittee felt that the list could be simplified for the purpose of defining DFCs for the region. The DFCs will therefore be described for the following three landscape and community types:

- 1. *Pine-bluestem:* SLP communities in which warm season grasses/forbs are prominent on dissected plains (includes plant community associations 6 and 7 above).
- 2. Dry-Mesic Pine–Oak: SLP mixes with oak species (either can be dominant) on more deeply dissected hills, even on upper north-facing slopes (includes plant community associations 1, 2, 3, and 9 above).
- 3. Dry Pine–Oak: SLP mixes with oak species (Black and Post Oak) on steep, south-facing upper slopes and ridgetops (includes plant community associations 1, 3, 4, 5, and 8 above).

Desired Future Conditions for Shortleaf Pine Communities in the Ozark/Ouachita (AR/MO) region:

Shortleaf pine ecosystem restoration should occur at the landscape scale and therefore the DFCs presented below provide both landscape and stand level guidelines. Landscape scale DFCs were adapted from the Landfire project developed by USFS and DOI, with cooperators. Landscape conditions were developed by Landfire using state-transition computer models with input parameters provided by expert groups along with literature sources. Full documentation of the methodology has been published and reviewed (http://www.landfire.gov/). Under this process, disturbance type and frequency that would lead from each state such as mid-seral mature open to every other state such as mature closed are input into the computer. A computer then simulates a long period of community change such as 1,000 years, to determine what proportion of the landscape would be occupied by each state. Disturbances include weather, within-stand competition, insect and disease outbreaks, ice, and fire, with varying severity (partial or stand-replacing disturbance or no disturbance). Landfire states or classes are based on stand age and openness. Both open and closed seral states are described as woodland conditions, that is, less than full canopy cover, with an understory dominated by native herbaceous species. The following DFCs apply only to the mature open seral stage.

These are presented only as guidelines. They are presented because the assumptions and methodology have been published and provide guidance on the spatial diversity of structural conditions that might occur within a community in landscapes (10,000 acres or more). When researchers and managers on the team have information indicating that local percentages differ from those calculated by Landfire, these can be updated. Note also that Landfire used a slightly different classification from what is used in this document in that Landfire has only Shortleaf Pine Oak Forest and Woodland type, along with a pine–bluestem model, whereas the classification used here distinguishes dry pine–oak from dry-mesic pine–oak woodlands. Also, the team that developed this document felt an old growth closed class was needed, but it has not been added below. This diversity of structural conditions would have occurred in a mosaic of various patch sizes across these landscapes.



Stand level conditions were developed by the DFCs committee using historic data, research literature, and managers' collective experience. Research has shown that both natural and anthropogenic fires influenced historic vegetation. Fire scar research from the Interior Highlands provides the most detailed fire frequency information, however, it is widely understood that many low intensity fires do not leave fire scar evidence. Therefore, fire frequencies recorded by this method likely underestimate the actual frequencies, so ranges are provided below. Some areas within the Interior Highlands have more detailed data and DFCs could be modified based on their findings.

Desired Future Conditions for Shortleaf Pine-Bluestem:

Site Types: This shortleaf association exhibits the most open canopy condition of the three described here, as a result of frequent fires of varying intensity and seasonality that serve to control most other woody growth. The herbaceous ground cover is abundant. These communities occur on less dissected landscapes where larger areas of relatively gentle topography allowed for greater and more frequent disturbances, especially from fire.

Desired Age and Structural Characteristics: landscape level

- Early seral open 15%
- Mid-seral open 35%
- Mature open 45%
- Mid-seral closed 3%
- Mature closed 2%

(With about 85% pines across the landscape)

Canopy Closure: Range of 30-60% overall, but could be much sparser or denser in certain locales depending on small-scale ecological factors.

Basal Area: 40-70 sq. ft./ac with an average diameter of 16 inches DBH. Refer to Table G.2. for stocking ratios.

Midstory: Coverage should be less than 10%.

Understory: Coverage should be less than 10%.

Ground Layer: Coverage should be extensive in restored sites, 80-100% cover and made up of at least 80% graminoid-forbs in composition.

Disturbance Regimes: Since this community occurred over larger geographic areas with great connectivity, natural and anthropogenic ignitions would burn larger units and therefore fire return intervals would be shorter, 3-5 years.

Desired Future Conditions for Dry Pine-Oak Woodland:

Site Types: In Missouri, these community types typically occur on south and west-facing slopes and ridge tops and approximately the upper third of their backslopes. In the southern Ozarks, they occur on upper south and west-facing slopes. In the Ouachitas, dry pine-oak is more prevalent in the central regions on upper south and west-facing slopes. These systems are more edaphically controlled than the other community types, although fire is still important. These sites are more dissected and therefore have more variability in fire regimes. In the Ozarks Highlands, the extent and frequency of fires often was less compared to the large connected landscapes of the Ouachitas and Boston Mountains.

Desired Age and Structural Characteristics: landscape level

- Early seral open 5%
- Mid-seral open 25%
- Mature open 45%
- Mid-seral closed 5%
- Mature closed 20%

Canopy Closure: Range of 30-50%. Use Table G.2. to determine relationship among average stand DBH and canopy closure.

Basal Area: Range of 30-60 sq. ft./ac. In the northern Ozark Breaks, BA would be higher due to large numbers of old growth stands with larger diameters.

Midstory: Coverage should be approximately 15%, with common plants like farkleberry, dogwood, hickories, etc.



Understory: Coverage can be 20-80% in the northern Ozark Breaks and Hills. In the southern Ozarks/Ouachitas coverage is less than 30%. Coverage may increase with restoration.

Ground Layer: Range of 40-60% in coverage with at least 80% graminoid-forbs in composition.

Disturbance Regimes: Fire is likely to occur on these drier sites (at least the larger sites) but drought is the primary disturbance. Typical fire return intervals were 5-10 years in the Ozark and 3-7 years in the Ouachita and Boston Mountains because of relatively smaller units.

Desired Future Conditions for Dry-Mesic Pine–Oak Woodland:

Site Types: In MO, typically occurs on mid-to-low, moderately steep north and east-facing slopes where fire frequency was less than pine–bluestem systems. In the southern range (Ozarks and Ouachitas), occurs on low-to-mid south and mid-north slopes and toe slopes. Percent of pine varies inversely with steepness. Shortleaf pine with white oak is typical with a red oak component increasing farther south. Oaks may dominate many sites, with shortleaf pine as a secondary component because oaks have a competitive advantage on moist sites.

Desired Age and Structural Characteristics: landscape level

- Early seral open 5%
- Mid-seral open 25%
- Mature open 45%
- Mid-seral closed 5%
- Mature closed 20%

Canopy Closure: Range of 50-80%. Use Table G.1. to determine relationship among average stand DBH and canopy closure.

Basal Area: Range of 50-90 sq. ft./ac with an average diameter of 16 inches DBH.

Midstory: Coverage should be less than 30%.

Understory: Coverage should be less than 30%.

Ground Layer: The committee could not reach a consensus range of percent coverage from the wide variation experienced by those who have been engaged in restoration throughout the range. It is agreed it should be over 20% and 80- 100% is desirable but may not be feasible. The ground layer should be made up of at least 80% graminoid-forbs in composition.

Disturbance Regimes: Fire is likely to occur on these sites, depending on size, but other disturbances like windthrow, drought and insect outbreaks are also common disturbances. Typical fire return intervals were 5-10 years in the Ozark and 3-7 years in the Ouachita and Boston Mountains.

Herbaceous site indicator species for the three identified SLP communities:

Managers have found some sites to be resistant to restoration due to past management activities. Species that should be present may have been lost, even in the seed bank, through past management. Species uncharacteristic of the community may have become abundant, and may not be easily controlled through fire or other available management practices. The presence of species appropriate to the site and community is an important component to judge the restorability of a particular site. Using a Floristic Quality Index (Swink and Wilhelm, 1994) that considers all species present on the site is the best way to evaluate restoration potential, but below is a short list of indicator species. Presence of herbaceous species that require open canopy and frequent fire provide valuable indications of the functioning of shortleaf pine ecosystems.

Characteristic and Desired Indicators:

- Little bluestem (Schizachyrium scoparium)
- Big bluestem (Andropogon gerardii)
- Tick trefoil (Desmodium marilandicum)
- Sensitive briar (Mimosa quadrivalvis var. nuttallii)
- Cream wild indigo (Baptisia bracteata)
- Stiff-leaved aster (Ionactis linariifolia)
- Spreading aster (Symphyotrichum patens)
- Turbinate aster (Symphyotrichum turbinellum)



- Goldenrod (Solidago odora)
- Bristly sunflower (Helianthus hirsutus)

In addition these species can be used in Arkansas

- Pale purple coneflower (*Echinacea pallida*)
- Large coneflower (Rudbeckia grandiflora)

For more specifics on identifying these, refer to Common Indicator plants of Missouri (Farrington 2010).

Community Type	Canopy Closure (%)	Basal Area* (ft²/ac)	Trees Per Acre*	Midstory Densi- ty (%)	Understory Cover (%)	Ground Cover (%)
Shortleaf Pine– Bluestem	30-60	35-70	26-52	<10	<10	80-100
Dry Mesic Short- leaf Pine–Oak Woodland	50-80	60-95	44-70	<30	<30	50-80
Dry Shortleaf Pine–Oak	30-50	35-60	26-44	15	20-80 North <30 South	40-60
	ed Based on an ave	rage DBH of 16". w	ill vary with average	e stand DBH see tal		

 Table G.1. Summary of DFCs for mature, open condition shortleaf pine communities.

Management:

General Considerations: Natural community restoration cannot be accomplished on every site formally occupied by shortleaf pine, especially in those areas with a lot of damage from overgrazing and other abuses. Initial inventory should identify landscape-scale areas with a preponderance of restorable sites. Based on the collective experience of the subcommittee, the guidelines below could help to determine whether or not restoration is practical on a given site. Practicality of restoration can be influenced by economics, invasive species, native seed bank, or other factors.

Pretreatment Decision Making: The initial step is to determine the feasibility of restoration with managers' limited resources: efforts should focus on sites with the best chance of success. Using Floristic Quality Index plots is expensive, but the use of indicators and quick herbaceous layer monitoring (rapid ecological assessment based on the indicator species listed above) will also help to determine whether the site is a good candidate for restoration. Without a good indication of a response of herbaceous indicator plants, the stand may simply need a prescribed light thinning and/or creation of opening followed by dormant/growing season fires as a pretreatment to determine whether the indicator species' seedbank is present. This would be a good path forward if in doubt. Conducting an intermediate thinning under a forest management plan would leave the tract available for reaching alternative goals. Invasive species should be aggressively controlled. Opening the canopy and applying one burn will increase the probability that characteristic sunloving perennial forbs will remain for the next burn treatment (Guldin 2007). If the site is determined to be restorable, continued treatment will be needed to achieve the DFCs. (SLP ecosystems are fire adapted, so it is imperative that restoration sites and landscapes be burnable). Herbicides and mechanical treatments are likely to be necessary in the restoration prescription due to the invasion of plants that were historically absent.

Managers must also decide whether to focus on getting pine back in the system first or to work to restore the grass/forb component of the understory first. Different management approaches are required to accomplish each of these. If the landscape to be restored is dominated by maturing or seed-producing pine, then managers may concentrate on thinning and applying fire to restore groundcover diversity and begin to bank SLP reproduction. If SLP is essentially missing from the landscape where formerly dominant, then the site should be converted to planted SLP stand and managed as such until a commercial thinning is viable. Again, managers and planners must consider what personnel and financial resources will continue to be available when determining the scale of restoration projects they can sustain over time.

Fire: Maintaining a fire regime in these landscapes is critical to successful ecosystem restoration. Initial high fuel loading or those that develop following management or natural disturbances need to be managed carefully to avoid undesirable overstory mortality or other

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adverse effects. Fire intervals will need to be kept to 1-3 years apart during the restoration process with thinning occurring early in the process. While historic fire intervals were more variable (Guyette et al. 2002, Guyette et al. 2006), current conditions resulting from decades of fire suppression and other land use have been found to require more frequent controlled burns in order to recover the ground flora and reduce competition by oaks and other hardwoods (Sparks et al. 1998). Missing scheduled management treatments can actually result in converting the system to non-pine forest types. Fire return intervals in restoration areas tend to be shorter than the historical intervals in order to remove large amounts of accumulated fuels, kill undesirable hardwood resprouts, and remove fire-intolerant invasive species. Fire adapted exotic species such as *Sericea lespedeza* should be controlled before burning. Herbicides are an effective control treatment depending on the species. Once the vegetation community has stabilized, fire intervals can be lengthened.

Hardwood Control: Restored sites are two layered for the most part, consisting open canopy and groundlayer with some understory. A common need in restoration is to reduce the density of hardwood species in the midstory and understory. This can be achieved a number of ways, (1) applying herbicides as a pretreatment (2) increasing fire frequency and maintaining a higher overstory density to reduce hardwood sprout growth or (3) using hotter fires in the growing season to remove midstory and understory hardwood species and accepting greater overstory mortality. On mesic mixed sites, hardwood species have a competitive advantage over SLP because of reduced fire intensity and frequency. Management strategies should focus on increasing SLP in these stands.

Herbicide: Herbicide, while having the potential for adverse effects, may be the most effective way to reduce dense hardwoods and invasive species that have increased in a site over decades of prior or no management and should be in the managers "toolbox". Invasive species and hardwoods (including oak) may be fire-resistant or too large to be effectively reduced by fire and/or may resprout after cutting, resulting in a degree of shading that will hamper the desired herbaceous response. Evaluation and implementation of herbicide treatments should be undertaken carefully, following all approved uses and cautions when mixing according to label recommendations.

Thinning: Numerous studies have demonstrated that existing forests and woodlands are much denser and with more shade on the ground than a century ago (Foti 2004, Nowacki and Abrams, 2008). The resulting shaded conditions reduce overall species diversity and especially the species characteristic of SLP dominated communities. Restoration of these areas will often require mechanical removals to increase light resources to the herbaceous layer. The restoration process requires multiple silvicultural entries and burns in order to reach specified conditions. Thinning toward recommended DFCs should be kept at a slightly higher level (10-20 BA) than DFCs to account for potential loss of overstory trees from fire damage, windthrow, lighting, insects and natural mortality.

Regeneration of Stands: Stambaugh et al. (2007) suggest that while long-term frequent burning at 1-3 year intervals results in abundant SLP regeneration, fire-free intervals of eight to fifteen years likely are necessary to provide recruitment of cohorts into the stand. Given the long age span of SLP, and the desire to maintain relatively open stands, recruitment may only need to occur every several decades. There has been little experimentation to this end in restoration efforts currently underway, however, with the focus being on frequent burns to stimulate and maintain the overstory structure and ground flora. Experimentation, research and modeling are needed to find the most appropriate approach for different communities, conditions of stands, and sites.

Decision Making Criteria:

Each site contains its own unique challenges and complications that prevent a simple "recipe" for restoration. The tables on the following pages are provided to be a guide based on years of experience from managers.

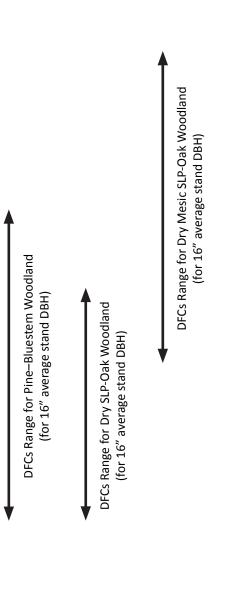


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12	14	11	28	22	35	28	42	33	57	44	71	56	85	67	66	78	113	89	127	100	142	111
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Table	Table 6.2 Desired future conditions for Shortlarf Dine forests hased on available arowing space was adouted from Robers (1983) using regionally-specific crown data	red fut	ure conc	ditions	for Shor	tloaf Di	ne fores	te hace		vilable	arowing	00000 5	00 3000	anted f	rom Bo	70rc /10	182) 1151	na reair	o-n/lou	nocific c	0 01000	2+2

10") where re-entry into the stand will be infrequent (<10 years). These typically younger trees respond well to thinnings and additional reductions in trees per acre may collected from various forest grown shortleaf pine stands in Arkansas. Special considerations will need to be taken when working in small diameter stands (avg DBH of from 10-28" diameter classes (n=233), but only had a large enough sample size to include trees up to 22" in this table. If there is a significant proportion of hardwood able a.c. desired juttre contations for strotted Prine forests based on available growing space was dupped from Kogers (1983) using regionany-specific crown data be required to prevent premature canopy closure. As tree diameter increases in the upper ranges of our dataset, the rate of crown growth slowed. The data ranged species in the stand, the resulting basal areas and trees per acre may be too high due to their larger canopy sizes.

shortleaf Pine



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Forest Variables	Desired Stand Structure	Conditions that may warrant Management	If below desired	If above
Overstory Canopy Closure	30-60 %		Let regenerate	Thin/Burn
Midstory Cover				
Hardwood encroachment				

Table G.3. This list of triggers should be completed but requires further data and input from the full committee

Emerging Issues for the Future (challenges moving forward)

- Tools for private landowners, such as USDA Farm Bill incentives should be added.
- Develop commercial pine management blending restoration/economic feasibility.
- Effective reforestation/afforestation techniques need to be developed where we have lost SLP.
- Identify and map lands that historically supported SLP (thru GLO, soil maps, and historical records) and no longer do so.
- Increase the understanding and sustainability/economic feasibility of uneven-aged/even-aged management.
- Develop markets for SLP products.
- Smoke management with the restriction of national air quality standards.

Definitions

1. Forest, Woodland, Savanna:

In the Interior Highlands, forests are communities characterized by layered woody species with a defined canopy, midstory, and understory. A woodland (25-70% woody canopy) and savanna (10%-25% woody canopy) are structurally two layered vegetative communities with a defined overstory and ground layer of native herbaceous plants and sparse midstory and understory. Forests and woodlands may be closed or open, but forests maintain a dominance of woody species through all the vertical layers. Woodlands may be open or closed but maintain a two-layered structure.

2. Basal Area:

The cross-sectional area of a single stem, including the bark, measured at breast height (4.5 ft. or 1.37 m above the ground).

3. Midstory:

The area 3 meters or more above the ground, but below the bottom of the canopy. This can be presented as a vertical percent cover or a horizontal percent cover ("as the crow flies" but is still indicative of light penetration thru the stand).

- 4. Understory: (Shrub layer/advanced regeneration layer) The percent cover of vegetation 1-3 meters above the ground.
- 5. Ground layer:

The percent cover of vegetation that is less than 1 meter in height. It includes the grass-forb component (also could be sedge-forb).

6. Seral Conditions: (as defined by Landfire)

Early seral open – Openings with herbaceous cover and/or seedling (young regeneration to 15 years old). Shrubs present and may provide up to 70% cover. Openings can be semi-persistent with regular fire. Scattered old or large trees may be present, basal area less than 14 square feet per acre. Large snags and downed woody debris present.

Mid-seral open – Overstory crown cover less than 70%, herbaceous cover greater than 70%, shrubs present but less than 30% cover. Pine and oak saplings to pole size trees less than 16" DBH. Ages range from 16 – 60 years old. Basal area between 15 - 80 square feet per acre. Oak component less than 35% of basal area. Scattered older/large trees may be present, less than 14 BA. Snags few, large woody debris 1 greater than 8" DBH per acre.

Mid-seral closed – Overstory cover greater than 70%, depauperate herbaceous layer, shrubs few, woody vines abundant. Pine and oak saplings to pole size trees less than 16" DBH. Ages range from 16 – 60 years old. Basal area greater than 80 square feet per acre. Scattered older/large trees may be present, less than 14 BA. Snags few, large woody debris less than 1 greater than 8" DBH per acre.

Mature open – Overstory crown cover less than 70%, herbaceous cover greater than 70%, shrubs present to 30% cover. Pine and oak trees greater than 16" DBH; 10% of stems greater than 20" DBH. Ages range from 61 - 200+. Basal area less than 80 square feet per acre. Oak component less than 35% of basal area. No midstory. Scattered older/large trees present (greater than 30" DBH and/or 250 years old). Large snags present; 1-10 greater than 8" DBH per acre. Large downed woody debris present.

Mature closed – Crown cover greater than 70%, herbaceous cover depauperate, shrubs few, woody vines abundant. Pine and oak trees greater than 16" DBH. 10% of stems greater than 20" DBH. Ages range from 61 - 200+. Basal area greater than 80 square feet per acre. Midstory present. Scattered older/large trees may be present (greater than 30" DBH and/or 250 years old). Large snags present; 1-10 greater than 8" DBH per acre. Large downed woody debris present.

